Annex 4

Summary Assurance Map - March 2021

- Low Assurance
- Medium Assurance
- High Assurance
- No Assurance Information

	Audits	Inherent Risk	Level 1 - Management Assurance: Senior Management Assurance Statements										Level 1 - Management Assurance: Risk Registers										Level 2 - Independent Assurance: Existing Internal Audit Assurance										Level 2 - Independent Assurance: Wider Assurance										Audit Need
		Inherent Risk	Economic Development	Education & Lifelong Learning	Governance & Legal Services	People and Communities	Adults, Housing & Communities	Childrens' Services	Planning, Transport & Environment	Resources	Waste	SMAS Summary	Corporate Risk Register	Economic Development	Education & Lifelong Learning	Governance & Legal Services	People and Communities	Adults, Housing & Communities	Childrens' Services	Planning, Transport & Environment	Resources	Waste	Directorate Summary	Economic Development	Education & Lifelong Learning	Governance & Legal Services	People and Communities	Adults, Housing & Communities	Childrens' Services	Planning, Transport & Environment	Resources	Waste	Directorate Summary	Economic Development	Education & Lifelong Learning	Governance & Legal Services	People and Communities	Adults, Housing & Communities	Childrens' Services	Planning, Transport & Environment	Resources	Waste	Directorate Summary	Audit Needs Assessment
Fundamental Systems	Fundamental - Core System																																								
	Asset Management	R	-	A	-	-	A	A	A	A	A	A	A	A	-	-	-	A	-	-	A	A	A	R	R	G	G	A	R	R	R	R	R	-	-	-	-	-	-	-	-	-	R
	Commissioning and Procurement	R	-	A	-	-	-	-	-	-	-	A	-	-	-	-	-	-	-	-	A	-	A	A	A	G	G	R	R	A	A	A	A	-	-	-	-	-	-	-	-	-	A
	Council Tax	R	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	A	-	A	-	-	-	-	-	-	-	G	-	G	-	-	-	-	-	-	-	-	-	A
	Purchasing and Payment Systems	R	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	A	-	A	-	-	-	-	-	-	-	-	-	R
	Housing Rents	R	-	-	-	-	-	-	-	-	-	-	A	-	-	-	-	A	-	-	-	-	A	-	-	-	A	-	-	-	-	-	A	-	-	-	-	-	-	-	-	-	R
	Income and Debtors	R	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	G	G	G	G	G	G	-	-	G	G	-	-	-	-	-	-	-	-	-	A
	Income Management	R	-	A	-	-	-	-	-	-	-	-	A	A	-	-	-	A	-	-	-	-	A	A	A	-	-	-	-	-	A	-	A	-	-	-	-	-	-	-	-	-	A
	Housing Benefits / LHA / CTRS	R	-	-	-	-	-	-	-	-	-	-	A	-	-	-	-	A	-	-	-	-	A	-	-	-	G	-	-	-	-	-	G	-	-	-	-	-	-	-	-	-	A
	Main Accounting	R	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	A	-	A	-	-	-	-	-	-	-	A	-	A	-	-	-	-	-	-	-	A	-	R
	NNDR	R	-	-	-	-	-	-	-	-	-	-	-	A	-	-	-	-	-	-	A	-	A	-	-	-	-	-	-	-	A	-	A	-	-	-	-	-	-	-	-	-	R
	Payroll	R	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	A	-	A	-	-	-	-	-	-	-	A	-	A	-	-	-	-	-	-	-	-	-	R
	Pensions and investments	R	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	A	-	A	-	-	-	-	-	-	-	A	-	A	-	-	-	-	-	-	-	A	-	A
	Stores	R	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	A	-	-	-	-	-	A	A	-	A	R	-	-	-	-	-	-	-	-	R
	Treasury Management	R	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	A	-	A	-	-	-	-	-	-	-	A	-	A	-	-	-	-	-	-	-	G	-	G
	IT Governance / Security	R	-	-	-	-	-	-	-	-	-	-	R	-	-	-	-	-	-	-	A	-	A	-	-	-	-	-	-	-	A	-	A	-	-	-	-	-	-	-	A	-	A
	Mileage and subsistence	A	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	R	-	-	-	-	-	-	-	-	-	A
	Purchasing cards	A	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	R	-	-	-	-	R	R	-	R	-	-	-	-	-	-	-	-	-	R
	Agency Managed Service	A	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	A	-	-	A	A	-	-	-	-	-	-	-	-	-	A
Governance Functions	Corporate Governance - Assurance																																								
	Ethics and values	R	-	-	-	-	-	-	-	A	-	A	-	-	-	-	-	-	-	-	-	-	-	A	A	A	A	A	A	A	A	R	A	-	-	-	-	-	-	-	-	-	A
	Information governance	R	-	-	-	-	A	-	-	A	-	A	A	A	-	-	-	A	A	-	A	-	A	-	-	-	-	-	-	-	A	-	A	-	-	-	-	-	-	-	A	-	R
	Performance management	R	G	A	A	G	A	A	G	G	A	A	A	A	-	A	A	A	A	A	A	A	A	-	-	-	-	-	-	-	-	-	A	-	-	-	-	-	-	-	-	-	A
	Risk management arrangements	R	G	A	G	G	G	G	G	G	G	G	-	-	-	-	-	-	-	-	-	-	-	A	A	G	G	A	A	A	G	A	G	-	-	-	-	-	-	-	-	-	A
	Delegation and Decision Making	R	G	A	A	A	A	A	G	A	A	A	-	-	-	-	-	A	A	A	A	-	A	A	-	A	A	A	A	A	A	A	A	-	-	-	-	-	-	-	-	-	A
	Programmes and projects	R	G	G	A	G	-	G	A	G	A	A	-	A	A	-	-	A	-	A	A	A	A	-	-	-	-	A	-	A	G	-	G	-	-	-	-	-	-	-	-	-	A
	Value for Money	R	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	A	A	A	A	A	A	A	A	A	A	-	-	-	-	-	-	-	-	-	R
	Scrutiny / Oversight Functions	A	-	-	-	-	-	-	-	-	-	-	-	-	-	A	-	-	-	-	-	-	-	-	-	G	-	-	-	-	-	-	G	-	-	A	-	-	-	-	-	A	A
	Health and Safety	R	A	-	-	-	A	A	-	-	-	A	A	-	A	A	-	-	-	A	-	A	A	A	A	G	G	-	A	G	G	R	A	-	-	-	-	A	-	-	-	A	A
	Future Generations	R	G	A	A	A	A	A	G	G	A	A	-	A	A	-	-	A	A	-	-	-	-	A	-	-	-	-	-	-	-	-	A	R	-	-	-	-	A	-	-	A	A
	Safeguarding	R	-	-	-	-	-	-	-	-	-	-	A	-	-	-	-	-	A	-	-	-	A	A	A	A	A	A	A	A	A	A	A	-	-	-	-	A	A	-	-	A	R
	Governance	R	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	A	A	G	G	A	A	A	G	A	A	-	-	-	-	-	-	-	-	-	A
	Insurance	R	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	A	-	A	-	-	-	-	-	-	-	G	-	G	-	-	-	-	-	-	-	-	-	A
	Partnerships and collaborative governance	R	G	A	A	A	A	A	G	A	A	A	-	-	-	-	-	A	A	-	A	-	A	A	A	A	A	A	A	A	A	A	A	-	-	-	-	-	-	-	-	-	A
	Business Continuity & Emergency Management	R	-	A	-	-	-	-	-	-	-	A	A	A	-	A	-	A	-	A	-	A	A	-	-	-	-	-	-	-	A	-	A	-	-	-	-	-	-	-	-	-	A
	Contract Variations	R	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	A	-	-	-	-	-	-	-	-	-	R
	IT Progammes / Projects	R	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	G	-	G	-	-	-	-	-	-	-	-	-	A
	Financial Resilience	R	-	-	-	-	-	-	-	-	-	-	R	A	A	-	-	A	A	A	-	-	A	-	-	-	-	-	-	-	A	-	A	-	-	-	-	-	-	-	A	-	A
	Payment Card Security - Data Security Standards (PCI -DSS)	R	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	A	-	A	-	-	-	-	-	-	-	-	-	R
	Contract Audit	R	-	-	-	-	-	-	-	-	-	-	-	A	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	A	-	-	-	-	-	-	-	-	-	R
	Taxation	R	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	A	-	A	-	-	-	-	-	-	-	-	-	R
	National Fraud Initiative / Fraud	R	-	A	-	-	A	A	-	-	-	A	A	-	-	-	-	-	-	-	-	-	-	A	-	-	-	-	-	-	-	-	A	-	-	-	-	-	-	-	-	-	R
	Investigation Processes	R	-	A	-	-	A	A	-	-	-	A	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	A	-	A	-	-	A	-	-	-	-	A	-	R
	Welsh Government Covid Grants Administration	R	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	A	-	-	-	-	A	A	-	-	-	A	-	-	G	-	A	-	-	-	-	-	-	-	-	-	A
	Complaints and Compliments	A	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	A	A	-	A	-	A	-	-	-	-	A	-	-	-	-	A	-	-	-	-	-	-	-	-	-	A
	Electoral Services	R	-	-	-	-	-	-	-	-	-	-	-	-	-	A	-	-	-	-	-	-	A	-	-	-	-	-	-	-	-	-	A	-	-	-	-	-	-	-	-	-	R
	Arms Length Company Assurance	R	-	-	-	-	-	-	-	-	A	A	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	A	-	A	-	-	-	-	-	-	-	-	-	A
	SOP	R	-	A	-	-	-	-	-	-	-	A	A	-	A	-	-	-	-	-	-	-	A	-	A	-	-	-	-	-	-	-	A	-	-	-	-	-	-	-	-	-	A